# End-Tidal Carbon Dioxide Monitoring in Anesthesia: Unraveling the Mysteries of Respiratory and Circulatory Physiology

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The present article underscored the indispensable significance of end-tidal carbon dioxide (ETCO2) monitoring in anesthesia and critical care, offering critical insights into both ventilation and metabolism dynamics. The meticulous management of tidal volume, respiratory rate, and inspired oxygen levels during anesthesia plays a pivotal role in maintaining optimal arterial oxygen and carbon dioxide concentrations. Effective ventilation is imperative for proper gas exchange within the alveoli and maintaining a stable acid-base balance.

In the context of circulatory physiology during anesthesia, cardiac output, blood pressure, and tissue perfusion hold paramount importance. The present article highlights how anesthesia-induced alterations in vascular tone and cardiac function could influence circulation and tissue oxygenation, impacting parameters like blood pressure, heart rate, and ETCO2. Monitoring these parameters provides essential data for assessing circulatory status, guiding anesthesia management, and averting complications.

ETCO2 monitoring has evolved into a standard practice in anesthesia and critical care settings, offering real-time, non-invasive insights. The present article delved into the extensive clinical applications, challenges, and future directions of ETCO2 monitoring. Encompassing fundamental principles, technological nuances, clinical implications, limitations, and avenues for research, this article advanced the understanding of this monitoring technique and its positive impact on patient care.

The present article also discussed how ETCO2 levels reflect alveolar ventilation, providing critical data for assessing ventilation adequacy, readiness for extubation, and identification of impending respiratory distress. Furthermore, it delved into how ETCO2 levels serve as an indicator of cardiac output and tissue perfusion, guiding management strategies in various shock states and offering prognostic insights during CPR.

Challenges and limitations associated with ETCO2 monitoring were clarified, with emphasis on technical considerations, patient populations with specific challenges, and potential interpretation pitfalls. The article outlines the exciting future directions of ETCO2 monitoring, encompassing wearable technology, remote monitoring capabilities, artificial intelligence integration, and potential applications beyond traditional realms.

Keywords: Anesthesia; Capnography; Critical care; End-tidal carbon dioxide; ETCO2 monitoring

Received 8 November 2023 | Revised 27 February 2024 | Accepted 11 March 2024

J Med Assoc Thai 2024; 107(4): 284-91

Website: http://www.jmatonline.com

The present article highlights the crucial role of end-tidal carbon dioxide (ETCO2) monitoring in anesthesia and critical care, providing valuable insights into ventilation and metabolism. During anesthesia, precise control of tidal volume, respiratory rate, and inspired oxygen (O2) concentration is essential to maintain optimal arterial O2 and carbon

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#### How to cite this article:

Supan W, Sripunjan K, Ongiem A, Vichitvejpaisal P. End-Tidal Carbon Dioxide Monitoring in Anesthesia: Unraveling the Mysteries of Respiratory and Circulatory Physiology. J Med Assoc Thai 2024;107:284-91. DOI: 10.35755/jmedassocthai.2024.4.13968

dioxide (CO2) levels. Effective ventilation ensures proper gas exchange in the alveoli and a stable acid-base balance.

In the context of circulatory physiology during anesthesia, factors such as cardiac output, blood pressure, and tissue perfusion are critical. Changes induced by anesthesia in vascular tone and cardiac function can impact circulation and tissue oxygenation. Monitoring parameters like blood pressure, heart rate, and ETCO2 offer essential data on circulatory status, guiding anesthetic management to prevent complications such as hypotension or inadequate tissue perfusion.

ETCO2 monitoring, as a non-invasive and real-time tool, has become standard practice in anesthesia and critical care settings. Anesthesia practitioners strive to maintain adequate oxygenation and ventilation while minimizing disruptions

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to circulatory dynamics, ensuring proper tissue perfusion, and preventing complications, thereby enhancing patient safety, and improving outcomes.

The present article aims to provide a comprehensive exploration of ETCO2 monitoring's clinical applications, challenges, and future directions in anesthesia and critical care. It covered fundamental principles, technology, clinical implications, limitations, and potential research areas, contributing to the advancement of this monitoring technique and its positive impact on patient care.

# **Understanding ETCO2 monitoring** The principles

The principles behind ETCO2 measurement are based on the concept of alveolar gas sampling. During expiration, the last portion of exhaled gas comes from the alveoli, which has a higher concentration of CO2 due to gas exchange with the blood. By sampling this end-tidal gas, ETCO2 reflects the CO2 level in the alveoli, which is closely related to the partial pressure of carbon dioxide (PaCO2). The correlation between ETCO2 and PaCO2 is known as "the alveolar-arterial CO2 gradient"(1,2).

While ETCO2 is not an exact measure of PaCO2. it serves as a reliable surrogate marker for arterial CO2 levels under normal physiological conditions. The alveolar ventilation-perfusion (V/Q) ratio determines the accuracy of this correlation<sup>(1,2)</sup>.

During normal ventilation and V/Q matching, ETCO2 closely approximates PaCO2, with a typical gradient of 2 to 5 mmHg. However, conditions that disrupt V/Q matching, such as dead space ventilation, or shunting, can lead to a larger alveolar-arterial CO2 gradient and a less accurate correlation between ETCO2 and PaCO2(1-5).

## **Devices and techniques**

Capnometers are essential devices that display the numerical value of ETCO2 in millimeters of mercury (mmHg). These devices are commonly integrated into anesthesia machines and portable monitors, enabling continuous ETCO2 measurements.

Capnography, the graphical representation of ETCO2 levels over time, presents a waveform known as the capnogram, offering dynamic information about the respiratory cycle. The capnogram comprises several phases, including the baseline, inspiratory upstroke, alveolar plateau, and expiratory downstroke (Figure 1).

The baseline of the capnogram represents the PaCO2 at the end of expiration in the absence of

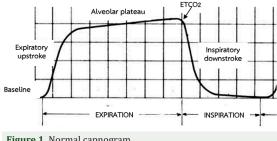


Figure 1. Normal capnogram.

alveolar gas exchange. The normal baseline should be close to zero, indicating complete CO2 elimination during exhalation<sup>(2,6)</sup>.

During inspiration, CO2-free gas from the anatomic dead space fills the airway, resulting in a sharp decrease in CO2 levels and a downward deflection on the capnogram.

As expiration begins, alveolar gas, enriched with CO2, is exhaled, leading to a gradual increase in CO2 levels. The alveolar plateau represents the phase when only alveolar gas is present in the airway.

As expiration continues, CO2 levels decline, resulting in a downward slope on the capnogram until the next inspiratory phase begins.

Additionally, two types of capnography are currently used in practice. Mainstream capnography directly samples the CO2 concentration in the airway through an in-line sensor placed at the endotracheal tube or airway adapter. It provides real-time data but adds weight to the airway device. On the other hand, sidestream capnography utilizes a sampling tube connected to the airway, transporting a sample to the monitor for analysis. Although it may have a slight time delay, sidestream capnography is more convenient for portable use<sup>(2,6)</sup>.

Moreover, colorimetric ETCO2 detectors employ chemical indicators that change color in response to CO2 levels. These detectors provide a simple visual indication of CO2 presence and are commonly used during cardiopulmonary resuscitation (CPR) or in situations where capnography may not be readily available(6,7).

# ETCO2 and respiratory function Using ETCO2 for ventilation assessment

One of its primary applications is in verifying correct endotracheal tube placement after intubation. When ETCO2 levels show a sudden rise, often referred to as capnography confirmation, it indicates proper tube placement within the trachea, ensuring effective ventilation and preventing potential

complications.

During anesthesia, capnography patterns can provide valuable insights into specific respiratory conditions. For example, a sudden decrease in the alveolar plateau, known as a "shark fin capnogram", can indicate bronchospasm, while an abrupt increase in ETCO2 levels, referred to as a "square wave capnogram", may suggest rebreathing of exhaled CO2. Significant and sudden changes in ETCO2 levels can serve as warning signs of impending respiratory deterioration, alerting healthcare providers to take timely action to prevent potential complications<sup>(2,6)</sup>.

Moreover, ETCO2 monitoring is valuable in assessing a patient's readiness for extubation. Stable and normal ETCO2 levels suggest adequate respiratory function, providing confidence in the patient's ability to breathe independently after removal of the endotracheal tube<sup>(6)</sup>.

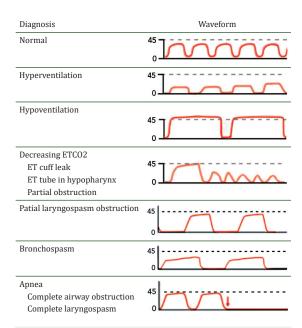
Alveolar ventilation is a crucial process responsible for removing CO2 from the lungs. When someone hyperventilates, their breathing rate increases, leading to a higher elimination of CO2 and causing a decrease in the levels of ETCO2. Conversely, hypoventilation slows down the elimination of CO2, resulting in elevated ETCO2 levels. ETCO2 monitoring serves a significant and multifaceted purpose in evaluating ventilation and respiratory status, both during anesthesia and critical care (Figure 2).

Nevertheless, continuous monitoring of ETCO2 is particularly valuable during mechanical ventilation or spontaneous breathing, as it enables healthcare providers to assess the adequacy of ventilation. For instance, decreased ETCO2 levels can indicate hypoventilation, where insufficient CO2 elimination leads to CO2 retention, potentially causing respiratory acidosis. Conversely, elevated ETCO2 levels may suggest hyperventilation, where excessive CO2 elimination causes hypocapnia, risking respiratory alkalosis. By monitoring ETCO2 levels, healthcare providers can prevent respiratory acidosis or alkalosis and their associated complications by making necessary adjustments to ventilation support<sup>(6,7)</sup>.

#### ETCO2 and circulatory function

# As an Indicator of cardiac output and perfusion

Cardiac output refers to the volume of blood pumped by the heart per unit of time, and it plays a significant role in the transport of CO2 to the lungs. An increase in cardiac output results in enhanced CO2 delivery to the lungs. This leads to higher ETCO2 levels, as more CO2 is expelled during



**Figure 2.** Capnography waveform interpretation<sup>(28)</sup>
ETC02=end-tidal carbon dioxide; ET=endotracheal
Ref. Krauss B, Hess DR. Capnography for procedural sedation and analgesia in the emergency department. Ann Emerg Med 2007;50:172-

81.

exhalation. For instance, during exercise, the body's demand for oxygen and subsequent CO2 production increases, leading to an elevated cardiac output, which in turn, elevates ETCO2 levels as more CO2 is removed from the body. Conversely, reduced cardiac output, as observed in conditions like heart failure or cardiogenic shock, decreases CO2 delivery to the lungs, resulting in lower ETCO2 levels. In these scenarios, the heart's pumping capacity is compromised, leading to a decreased transport of CO2 to the lungs for exhalation<sup>(6-8)</sup>.

Furthermore, in situations where perfusion is reduced, such as in conditions with decreased cardiac output or impaired blood circulation, there is a decrease in the clearance of CO2 from the body's tissues. CO2 that is produced by the body's cells as a waste product might not be adequately transported to the lungs for exhalation. As a result, CO2 accumulates in the bloodstream, leading to higher levels of CO2 being exhaled during each breath. Consequently, the ETCO2 readings, which represent the level of CO2 in the exhaled breath, will be higher than normal<sup>(6-8)</sup>.

Additionally, changes in systemic vascular resistance and peripheral blood flow can also influence tissue perfusion, affecting CO2 delivery and subsequently impacting ETCO2 levels. In states of shock, where there is impaired tissue perfusion,

the delivery of CO2 to the lungs is reduced, leading to lower ETCO2 levels<sup>(6-8)</sup>.

Furthermore, ETCO2 levels play a crucial role as a valuable prognostic indicator during CPR. When return of spontaneous circulation occurs, there is typically a significant increase in ETCO2, which serves as an encouraging sign of successful circulation restoration. Continuous monitoring of ETCO2 during CPR allows medical professionals to assess the effectiveness of their efforts, as a sustained increase in ETCO2 indicates improved perfusion and better outcomes. Conversely, persistently low ETCO2 levels during CPR may signal poor CPR quality or inadequate circulation, necessitating immediate adjustments and interventions to enhance the chances of a positive outcome<sup>(6,7,9)</sup>.

Moreover, in the context of anesthesia, abrupt drops in ETCO2 levels can be an early warning sign of hypotension or reduced cardiac output, indicating a potential decrease in tissue perfusion. In response to such changes, immediate intervention can be initiated to stabilize blood pressure and maintain adequate perfusion, thereby promoting patient safety and optimizing anesthesia management<sup>(6,7)</sup>.

#### ETCO2 monitoring in shock

In distinct types of shock, different underlying mechanisms lead to reduced cardiac output and inadequate tissue perfusion. Consequently, ETCO2 levels tend to decrease due to altered CO2 delivery to the lungs. Monitoring ETCO2 plays a crucial role in the early detection of these shock states, enabling prompt fluid resuscitation and appropriate interventions to optimize circulatory function and tissue perfusion<sup>(6,7)</sup>.

Hypovolemic shock occurs when there is a significant decrease in blood volume, resulting in reduced cardiac output and compromised tissue perfusion. As a consequence, ETCO2 levels decline due to a decreased supply of CO2 to the lungs. By continuously monitoring ETCO2 levels, clinicians can promptly identify signs of hypovolemia and initiate necessary fluid resuscitation measures<sup>(6,7)</sup>.

Similarly, cardiogenic shock arises from reduced cardiac pump function, leading to inadequate cardiac output and tissue perfusion. The resulting diminished CO2 delivery causes a subsequent drop in ETCO2 levels. Regular ETCO2 monitoring is essential in assessing circulatory function and guiding appropriate hemodynamic management in cardiogenic shock cases.

Septic shock, characterized by systemic

inflammation and vasodilation, disrupts circulatory dynamics, and affects ETCO2 levels. Monitoring ETCO2 provides valuable insights into tissue perfusion and guides the administration of fluid and vasopressor therapy to address the underlying causes of septic shock<sup>(6,7)</sup>.

Additionally, obstructive shock, such as that caused by pulmonary embolism or tension pneumothorax, hinders blood flow, leading to reduced CO2 delivery and lower ETCO2 levels. ETCO2 monitoring proves vital in promptly identifying these life-threatening conditions, allowing for timely interventions to alleviate the obstruction and restore adequate perfusion<sup>(6,7,10)</sup>.

# Clinical applications of ETCO2

Monitoring ETCO2 levels can provide essential information about how well the body is eliminating CO2. Significantly, it is a valuable tool in assessing cardiac and respiratory function and can offer insights into conditions where cardiac output and perfusion are compromised<sup>(6,7,11)</sup>.

### General anesthesia and procedural sedation

Anesthesia practitioners can adjust ventilator settings or manual ventilation techniques based on ETCO2 levels to maintain normocapnia and prevent complications associated with hypo- or hyperventilation<sup>(6,7,12,13)</sup>.

ETCO2 monitoring serves as a reliable method to confirm correct endotracheal tube placement. A sudden increase in ETCO2 after intubation indicates successful tube placement within the trachea, verifying effective ventilation<sup>(6,7)</sup>.

During anesthesia, airway obstruction can occur due to various factors, such as laryngospasm or equipment malfunctions. Monitoring ETCO2 helps identify airway patency issues, allowing for prompt intervention to maintain adequate ventilation<sup>(6,7)</sup>.

For patients undergoing procedures without endotracheal intubation, even during moderate to deep sedation, ETCO2 monitoring aids in anesthetic titration, ensuring that the right level of anesthesia is maintained throughout the surgical procedure. Sudden changes in ETCO2 levels, such as hyperventilation due to inadequate anesthesia or hypoventilation due to anesthesia overdose or airway obstruction and hypoxia, can be promptly addressed by providers adjusting sedative dosages to maintain patient comfort and safety, thereby reducing the risk of respiratory complications (Figure 2)<sup>(6,7,12,14)</sup>.

#### Critical care and emergency settings

ETCO2 monitoring in critical care settings allows for the early detection of respiratory distress, such as acute respiratory failure or pulmonary embolism. Similarly, ETCO2 monitoring aids in the early identification of circulatory compromise, including shock states. Changes in ETCO2 levels can provide valuable insights into cardiac output and tissue perfusion, guiding resuscitative efforts<sup>(6,7,9)</sup>.

During CPR, ETCO2 monitoring serves as a prognostic indicator and helps assess the effectiveness of chest compressions. A sudden increase in ETCO2 during CPR indicates improved circulation, while persistently low ETCO2 levels may warrant modification of resuscitative efforts to optimize the chances of a successful outcome<sup>(6,7,9,15)</sup>.

#### One-lung ventilation

One-lung ventilation (OLV) is a critical technique used during thoracic surgeries, such as lung resections or thoracotomies. ETCO2 monitoring is valuable in OLV to assess ventilation and ensure adequate CO2 elimination from the ventilated lung. Monitoring ETCO2 during OLV helps detect complications like unintended intubation of the non-ventilated lung or V/Q mismatch, allowing timely intervention to optimize ventilation and oxygenation (16-18).

In certain thoracic surgeries, such as lung-sparing tumor resections, ETCO2 monitoring plays a key role in differential lung ventilation. By assessing ETCO2 levels in both lungs, anesthesiologists can ensure proper ventilation of each lung and maintain stable arterial blood gases (ABGs)<sup>(16-18)</sup>.

# Non-intubated patients and remote monitoring

Traditionally, ETCO2 monitoring has been associated with endotracheal intubation. However, advances in capnography technology have enabled non-intubated patients to undergo ETCO2 monitoring through the use of nasal cannula capnography or transcutaneous capnography. This opens up opportunities to monitor patients in various clinical scenarios, such as during procedural sedation or in the post-anesthesia care unit<sup>(6,7)</sup>.

Remote patient monitoring has gained prominence in healthcare. Capnography systems with remote monitoring capabilities allow healthcare providers to continuously assess ETCO2 levels and respiratory status from a distance. This technology is particularly beneficial for patients undergoing procedures in remote locations or during telemedicine consultations, ensuring continuous monitoring and

timely intervention<sup>(6,13)</sup>.

# Life-threatening condition

ETCO2 serves as a valuable indicator of the patient's respiratory status, ventilation efficiency, and acid-base balance. Increased metabolic activity leads to higher CO2 production, resulting in elevated ETCO2 levels. Conversely, reduced metabolic rate can lower ETCO2 levels<sup>(6,19)</sup>.

Disorders affecting gas exchange, such as pulmonary diseases or shunting, can impact the alveolar-arterial CO2 gradient. One such condition is pulmonary embolism, where a blood clot obstructs the pulmonary arteries, leading to impaired gas exchange in the affected lung regions<sup>(6,7,10,19)</sup>.

ETCO2 monitoring can aid in the early detection of pulmonary embolism by identifying a sudden decrease in ETCO2 levels, which may be indicative of V/Q mismatch or reduced pulmonary blood flow. Consequently, there is an increase in dead space ventilation, where air is inhaled and exhaled but does not participate in gas exchange. This leads to higher levels of CO2 being excreted from unaffected alveoli, resulting in elevated ETCO2 levels in the exhaled breath. Timely recognition of these changes allows for immediate intervention, such as thrombolytic therapy or other appropriate measures, which can significantly improve patient outcomes<sup>(19,20)</sup>.

Similarly, other pulmonary diseases that affect gas exchange or cause V/Q mismatch, such as chronic obstructive pulmonary disease (COPD), pneumonia, or acute respiratory distress syndrome (ARDS), can also influence the alveolar-arterial CO2 gradient<sup>(7)</sup>.

Clinicians need to consider these factors when interpreting ETCO2 values, especially in patients with underlying pulmonary conditions, as the ETCO2 reading may not accurately reflect the actual PaCO2 levels in such cases. In such situations, direct ABG measurements are necessary to determine the true PaCO2 levels for appropriate clinical decision-making<sup>(19,21,22)</sup>.

# Challenges and limitations

# Limitations in specific patient populations

ETCO2 monitoring in pediatric patients presents unique challenges. Infants and young children have higher metabolic rates, leading to higher ETCO2 levels compared to adults. Moreover, anatomical differences in airway size and dead space can affect the accuracy of ETCO2 measurements<sup>(23,24)</sup>.

In obese patients, increased adipose tissue can impact gas exchange, resulting in altered ETCO2

readings. Mainstream capnography may be limited in this population due to difficulties in proper sensor placement<sup>(25,26)</sup>.

# Technical and interpretation challenges

ETCO2 monitoring can be affected by technical artifacts, including inadequate calibration of capnography equipment or malfunctioning sensors, which can lead to inaccurate readings<sup>(27)</sup>.

Additionally, improper positioning of sampling lines or inadequate gas sampling can result in unreliable ETCO2 measurements. Factors such as water condensation, kinking, or disconnections of the sampling line can also impact accuracy<sup>(23,27)</sup>.

Moreover, the presence of dead space, such as in endotracheal tubes or airway adapters, can affect the precision of ETCO2 measurements, as it may not accurately represent alveolar CO2 levels<sup>(27)</sup>.

While ETCO2 monitoring is valuable, its interpretation should always be done in conjunction with the patient's clinical condition. Considering the clinical context and integrating other monitoring parameters, such as pulse oximetry, blood pressure, and respiratory rate, are essential to obtain a comprehensive view of the patient's respiratory and circulatory status<sup>(6,11)</sup>.

#### Future directions and research

Future advancements in ETCO2 monitoring technology will focus on miniaturizing and making the devices more portable, enabling easy integration into various healthcare settings, including ambulatory care, home monitoring, and remote patient management. With wireless connectivity capabilities, ETCO2 monitoring devices will seamlessly transmit data to electronic health records (EHRs) and healthcare provider dashboards, facilitating real-time data sharing for remote monitoring and timely intervention.

Research and development efforts aim to create wearable capnography devices, offering non-invasive and unobtrusive sensors for continuous ETCO2 monitoring in a comfortable and user-friendly manner, particularly for patients requiring prolonged monitoring. The focus will also be on enhancing the accuracy and sensitivity of ETCO2 monitoring, addressing technical limitations, and improving reliability, especially in challenging patient populations such as pediatrics, obese patients, and those with pulmonary disease.

Moreover, future research may explore the potential of ETCO2 as a biomarker for various

medical conditions beyond respiratory and circulatory function. Investigating the association between abnormal ETCO2 levels and metabolic disorders, sepsis, or other systemic illnesses may lead to new diagnostic and prognostic applications.

Integration of artificial intelligence (AI) and machine learning algorithms in ETCO2 monitoring systems holds promising potential for real-time data analysis, pattern recognition, and prediction of adverse events based on ETCO2 trends, allowing for early detection and proactive management of complications. Combining ETCO2 monitoring with other physiological parameters and advanced analytics may enable predictive monitoring, identifying patients at risk of respiratory or circulatory compromise and improving patient outcomes through timely interventions.

Expanding continuous ETCO2 monitoring to non-intubated patients in diverse clinical settings, such as procedural sedation and critical care, will provide valuable insights into respiratory status and optimize patient safety. Additionally, research focusing on the cost-effectiveness of ETCO2 monitoring compared to traditional methods will provide evidence to support its widespread implementation and integration into routine clinical practice. These advancements in ETCO2 monitoring hold the potential to revolutionize patient care and monitoring across various healthcare settings.

#### Conclusion

ETCO2 monitoring is a fundamental component of anesthesia and critical care management, providing valuable insights into respiratory and circulatory physiology for optimal patient care and safety. It aids in optimizing ventilation parameters during anesthesia, confirming proper endotracheal tube placement, and detecting respiratory distress and hypoventilation early for prompt intervention. Additionally, it enhances patient safety during anesthesia and procedural sedation by continuously assessing respiratory and circulatory status.

The field of ETCO2 monitoring is rapidly evolving with technological advancements, including miniaturized devices, wearable technology, AI integration, and predictive monitoring, promising to further enhance patient care. As ETCO2 monitoring becomes more portable and accessible, its integration into various clinical settings, exploration as a biomarker, and ongoing research are set to transform patient monitoring and revolutionize anesthesia and critical care medicine.

# What is already known on this topic?

ETCO2 monitoring plays a crucial role in anesthesia and critical care by providing insights into ventilation, metabolism, and circulatory function. It helps maintain optimal gas exchange, guides anesthetic management, and enhances patient safety. ETCO2 monitoring is widely used as a non-invasive, real-time tool in these settings.

## What does this study add?

This comprehensive exploration of ETCO2 monitoring's clinical applications, challenges, and future directions reveals its significance in optimizing patient care. It addresses fundamental principles, technology, clinical implications, limitations, and potential research areas. This study highlights ETCO2 monitoring's role in assessing respiratory and circulatory function, aiding in conditions like shock, pulmonary diseases, and CPR. It discusses challenges such as technical limitations and patientspecific considerations, while emphasizing the integration of ETCO2 monitoring in non-intubated patients and remote monitoring. The study anticipates future advancements in wearable technology, AI integration, and predictive monitoring, which promise to revolutionize patient care and monitoring across diverse healthcare settings. Overall, this study underscores the vital contribution of ETCO2 monitoring to anesthesia and critical care, enhancing patient outcomes and safety.

### **Conflicts of interest**

The authors declare no conflict of interest.

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